Collaborators/funders: PPGEE, PPGI – UFAM APT / FM / S3 Research Groups ARM Centre of Excellence Centre for Digital Trust and Society UKRI, EPSRC, EU Horizon and industrial partners





The University of Manchester

## Building Trustworthy Software and AI Systems: Exploring Automated Testing, Formal Verification, and Repair Strategies

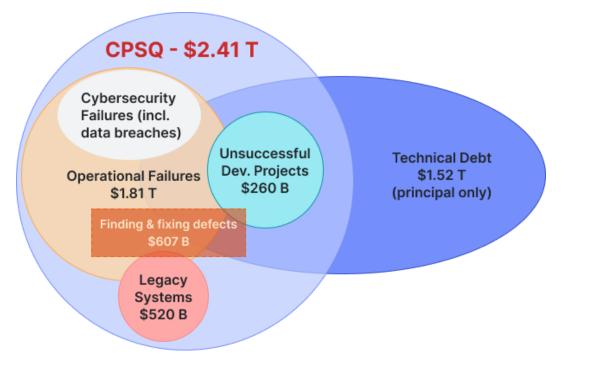


Lucas C. Cordeiro

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# How much could software errors cost your business?

Poor software quality cost US companies \$2.41 trillion in 2022, while the accumulated software Technical Debt (TD) has grown to ~\$1.52 trillion



TD relies on temporary easy-toimplement solutions to achieve shortterm results at the expense of efficiency in the long run

> The cost of poor software quality in the US: A 2022 Report



### **Objective of this talk**

Discuss automated testing, formal verification, and repair techniques to establish a robust foundation for building trustworthy software and AI systems

- Introduce a logic-based automated verification platform to find and repair software vulnerabilities
- Explain testing, verification, and repair techniques to build trustworthy software and AI systems
- Develop an automated reasoning system for safeguarding software and Al systems against vulnerabilities in an increasingly digital and interconnected world

### **Research Questions**

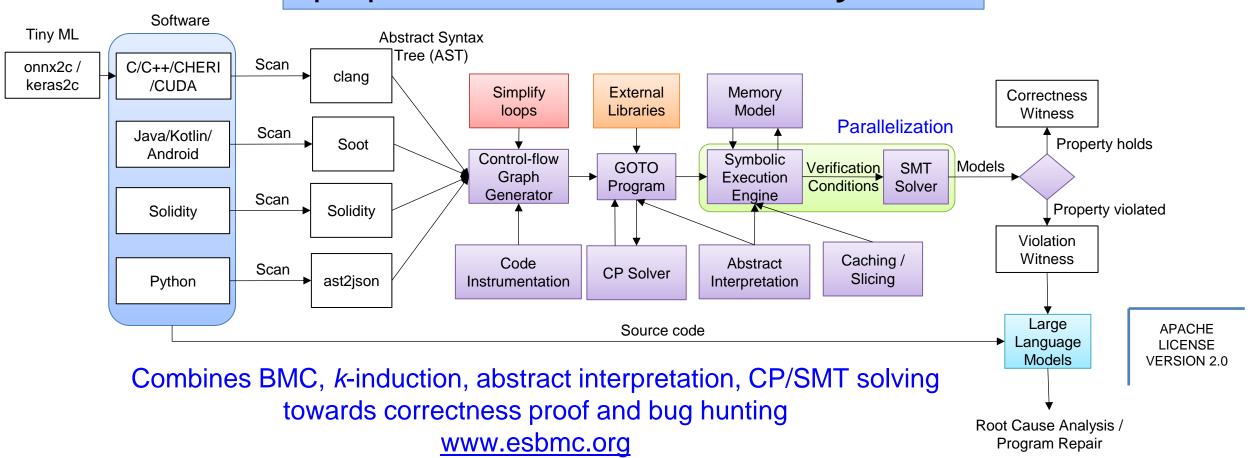
Given a program and a specification, can we automatically verify that the program performs as specified?

Can we leverage program analysis/repair to discover and fix more software vulnerabilities than existing state-of-the-art approaches?

Can we improve engineers' productivity to find, understand, and fix software vulnerabilities?

## **ESBMC: A Logic-based Verification Platform**

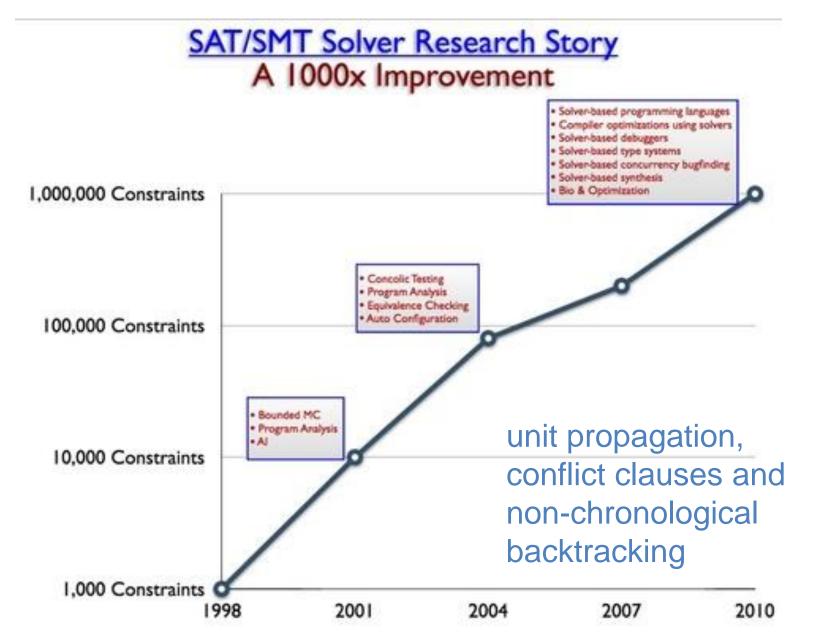
Logic-based automated verification for checking safety and liveness properties in AI and software systems

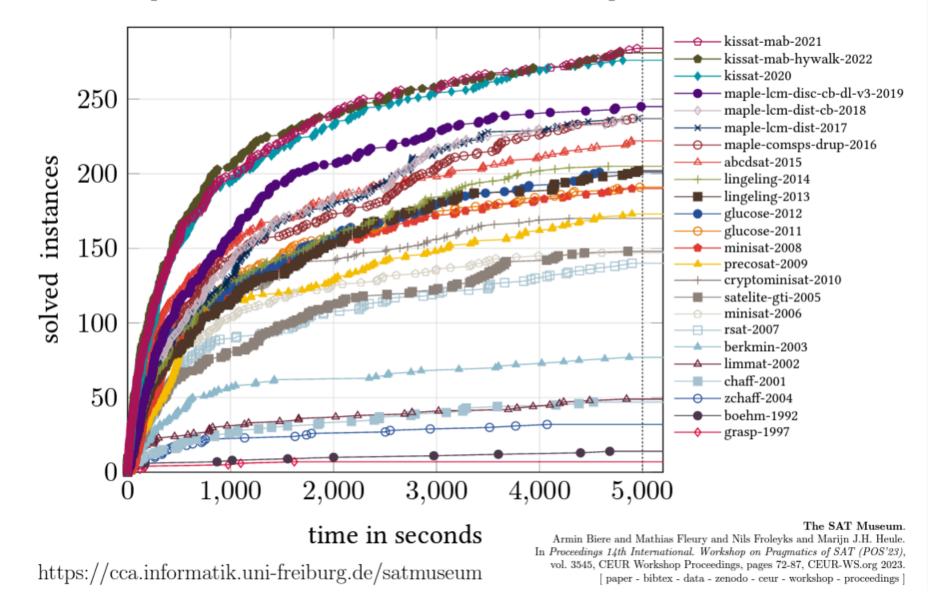


# Agenda

- Automated Software Testing and Verification with the ESBMC Framework
- Towards Self-Healing Software via Large Language Models and Formal Verification
- Automated Reasoning System for Building Trustworthy SW and AI Systems

### SAT solving as enabling technology

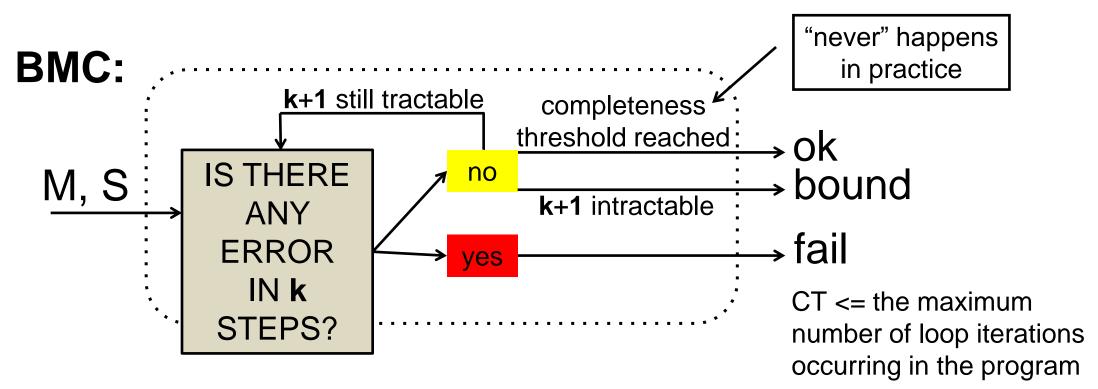




#### SAT Competition All Time Winners on SAT Competition 2022 Benchmarks

#### https://cca.informatik.uni-freiburg.de/satmuseum/

# **Bounded Model Checking (BMC)**



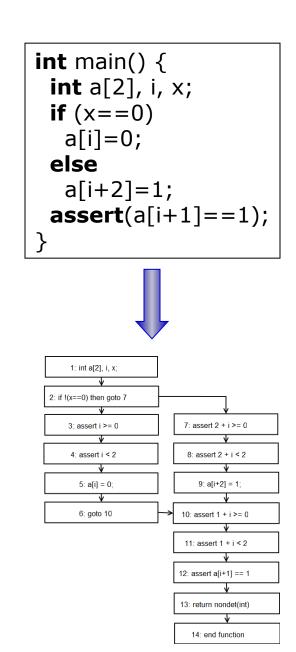
Can the given property fail in *k*-steps?

$$\underbrace{I(S_0) \land T(S_0, S_1) \land ... \land T(S_{k-1}, S_k)}_{\text{Initial state}} \land (\neg P(S_0) \lor ... \lor \neg P(S_k))$$

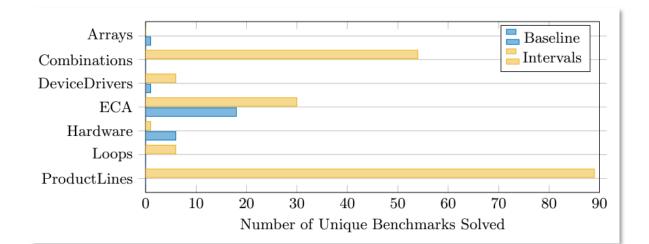
$$\underbrace{I(S_0) \land T(S_0, S_1) \land ... \land T(S_{k-1}, S_k)}_{\text{Initial state}} \land (\neg P(S_0) \lor ... \lor \neg P(S_k))$$

Armin Biere, Alessandro Cimatti, Edmund M. Clarke, Yunshan Zhu: Symbolic Model Checking without BDDs. TACAS 1999: 193-207

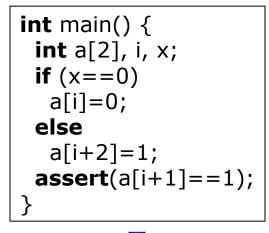
- program modeled as a state transition system
  - state: pc and program variables
  - derived from control-flow graph

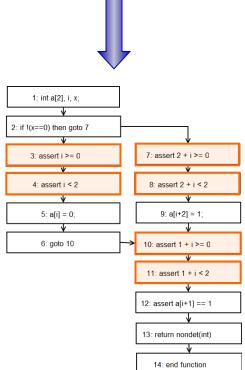


- program modeled as a state transition system
  - state: pc and program variables
  - derived from control-flow graph
  - added assumptions/safety properties as extra nodes

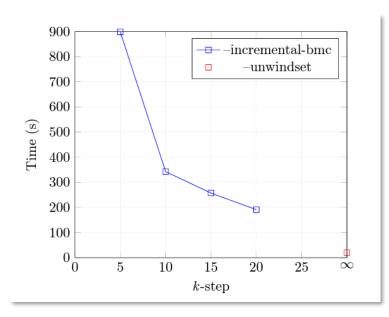


Menezes, R., Manino, E., Shmarov, F., Aldughaim, M., de Freitas, R., Lucas C. Cordeiro: Interval Analysis in Industrial-Scale BMC Software Verifiers: A Case Study.

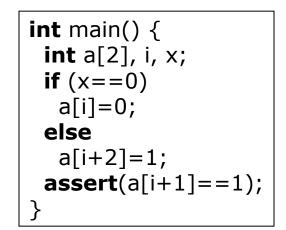


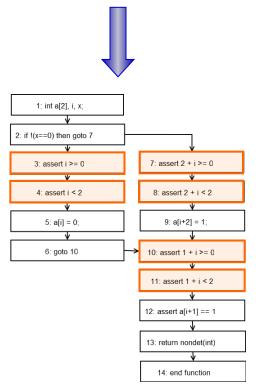


- program modeled as a state transition system
  - state: pc and program variables
  - derived from control-flow graph
  - added assumptions/safety properties as extra nodes
- program unfolded up to given bounds



Wu T., Xiong, S., Manino, E., Stockwell, G., Cordeiro, L.: Verifying components of Arm(R) Confidential Computing Architecture with ESBMC. SAS 2024 (to appear)



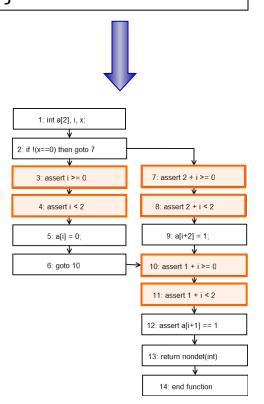


- program modeled as a state transition system
  - state: pc and program variables
  - derived from control-flow graph
  - added assumptions/safety properties as extra nodes

crucial

- program unfolded up to given bounds
- unfolded program optimized to reduce blow-up
  - constant propagation/slicing
  - forward substitutions/caching
  - unreachable code/pointer analysis

int main() {
 int a[2], i, x;
 if (x==0)
 a[i]=0;
 else
 a[i+2]=1;
 assert(a[i+1]==1);
}

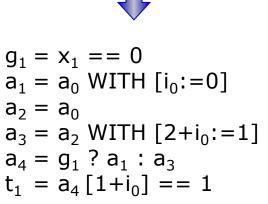


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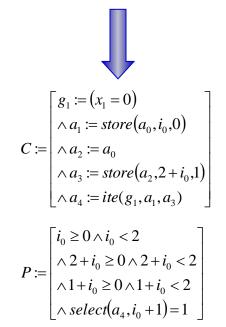
- program unfolded up to given bounds
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- front-end converts unrolled and optimized program into SSA

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- extraction of constraints C and properties P

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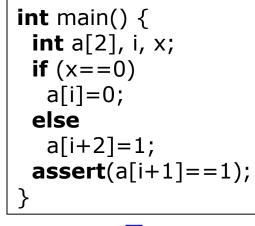


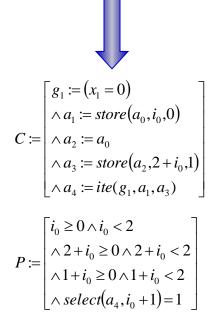
crucial

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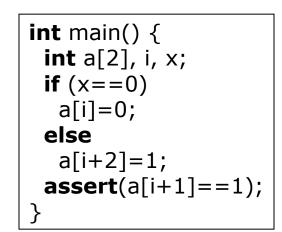


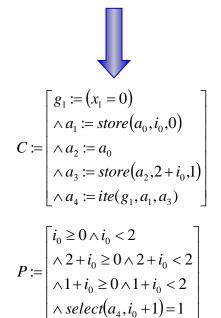


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- front-end converts unrolled and optimized program into SSA
- extraction of constraints C and properties P
  - specific to selected SMT solver, uses theories
- satisfiability check of  $C \land \neg P$

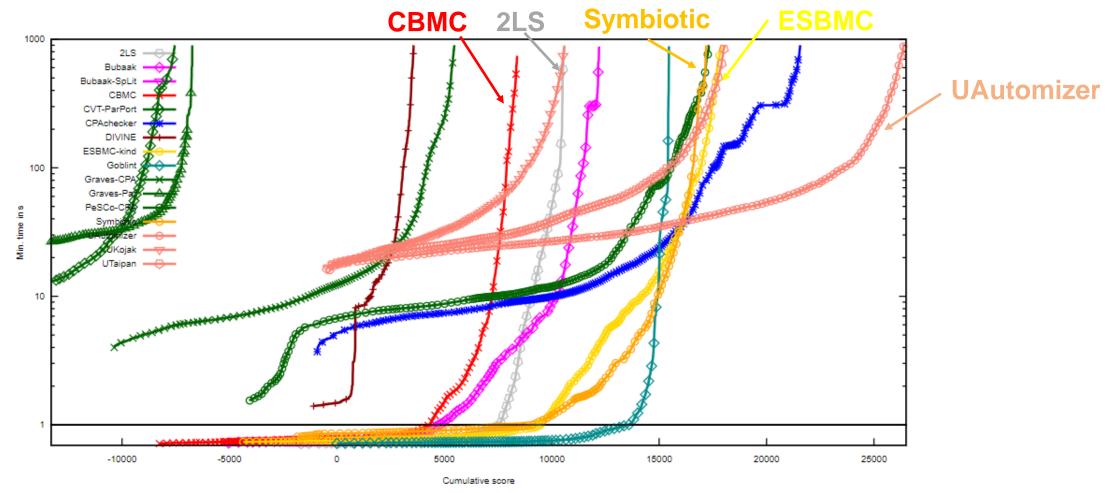




Cordeiro, L., Fischer, B., Marques-Silva, J.: SMT-Based Bounded Model Checking for Embedded ANSI-C Software. IEEE Trans. Software Eng. 38(4): 957-974 (2012)

# Intl. Software Verification Competition (SV-Comp 2024)

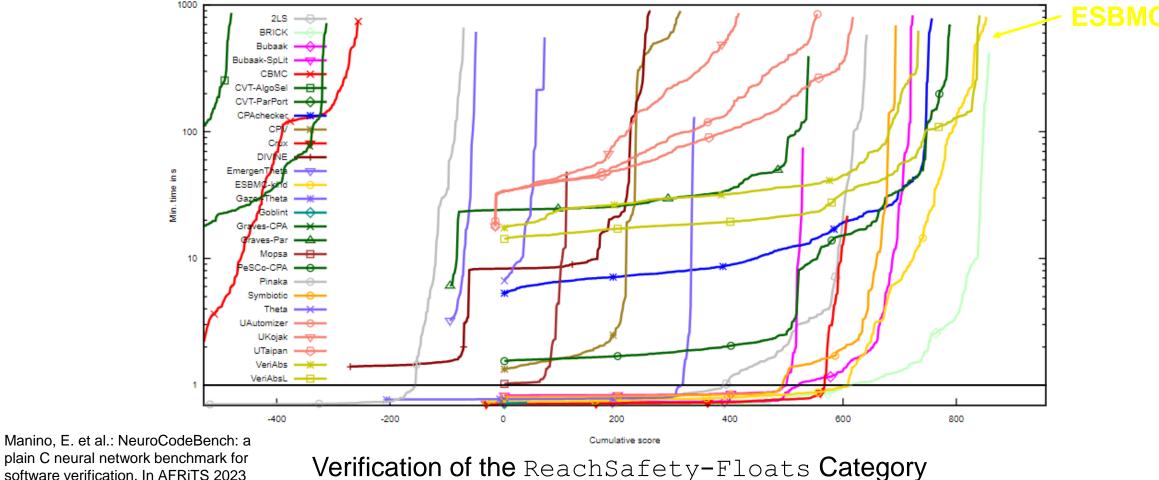
• SV-COMP 2024, 30300 verification tasks, max. score: 49097



### Verification of the Overall Category

### From Floating-Point Programs to Neural **Network Implementations**

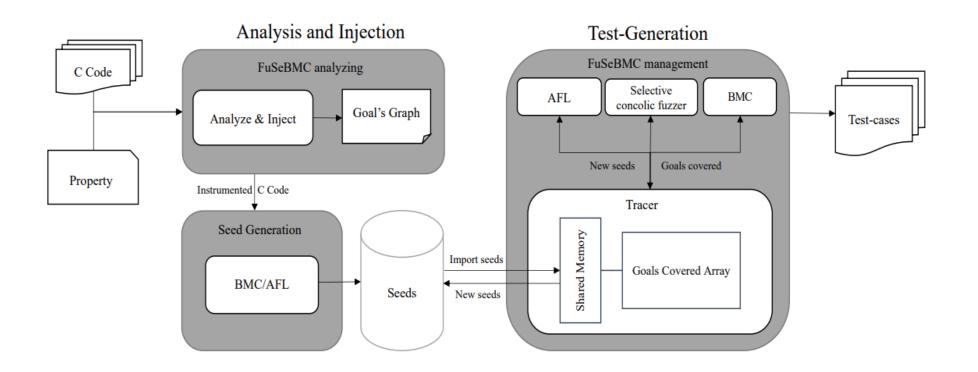
Known ground truth, width (1-1024 neurons), depth (1-4 layers), feedforward & recurrent, 8 activation functions



plain C neural network benchmark for software verification. In AFRiTS 2023

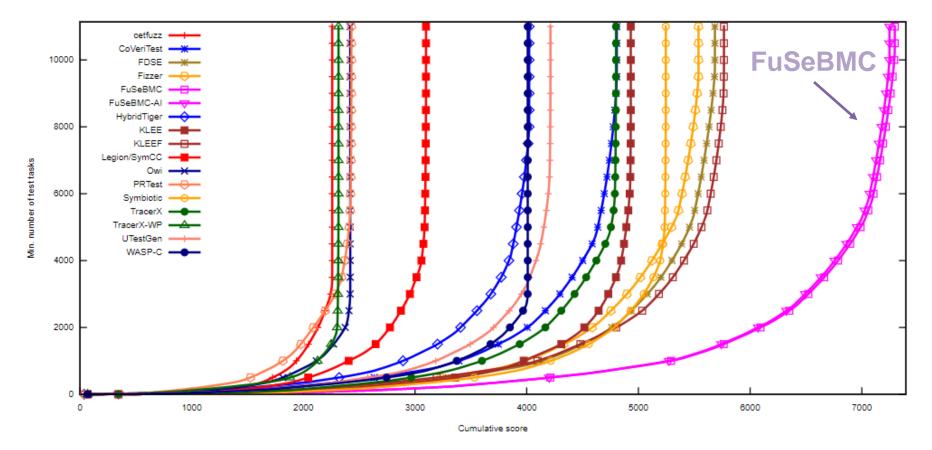
### FuSeBMC v4 Framework

- Use Clang tooling infrastructure
- Employ three engines in its reachability analysis: one BMC and two fuzzing engines
- Use a **tracer** to coordinate the various engines



Alshmrany, K., Aldughaim, M., Bhayat, A., Cordeiro, L.: FuSeBMC v4: Smart Seed Generation for Hybrid Fuzzing - (Competition Contribution). FASE 2022: 336-340

### Competition on Software Testing 2024: Results of the Overall Category



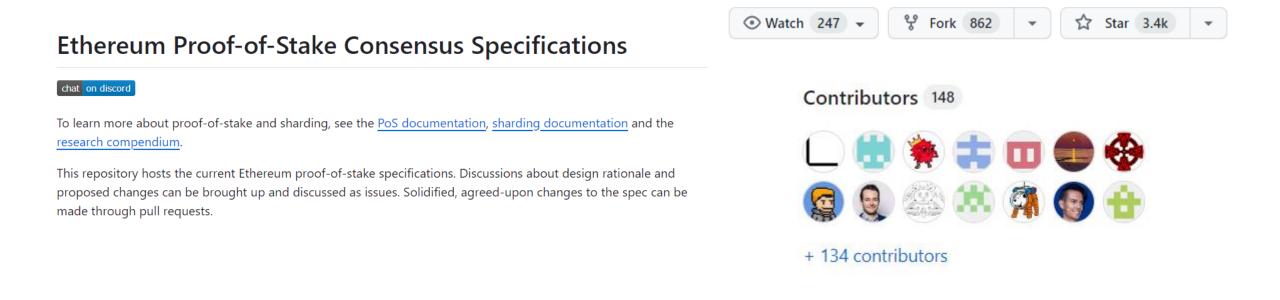
FuSeBMC achieved 3 awards: 1st place in Cover-Error, 1st place in Cover-Branches, and 1st place in Overall

Alshmrany, K., Aldughaim, M., Bhayat, A., Cordeiro, L.: FuSeBMC v4: Smart Seed Generation for Hybrid Fuzzing - (Competition Contribution). FASE 2022: 336-340

### https://test-comp.sosy-lab.org/2024/

### **Ethereum Consensus Specifications**

- Consensus protocol dictates how the participants in Ethereum agree on the validity of transactions and the system's state
- Git repository with Markdown documents describing specifications
- Infrastructure to generate Python libraries from Markdown



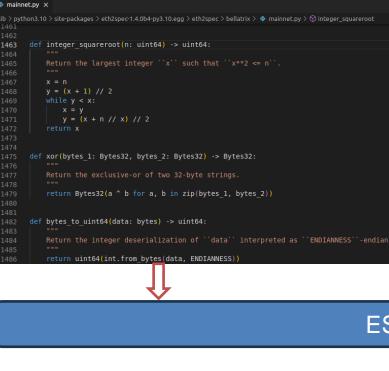
### **ESBMC-Python Benchmark**

### **Ethereum Consensus Specification**



#### consensus-specs / specs / phase0 / beacon-chain.md Preview Code Blame 1939 lines (1617 loc) · 71.4 KB Math integer\_squareroot def integer\_squareroot(n: uint64) -> uint64: ..... Return the largest integer ``x`` such that ``x\*\*2 <= n``. x = n y = (x + 1) // 2 while y < x: x = vy = (x + n // x) // 2 return x xor def xor(bytes\_1: Bytes32, bytes\_2: Bytes32) -> Bytes32: ...... Return the exclusive-or of two 32-byte strings. return Bytes32(a ^ b for a, b in zip(bytes\_1, bytes\_2))

### eth2spec Python Library



### Python Application

### 🍦 integer\_squareroot.py 🗙 eth2bmc > samples > helpers > math > 🍦 integer\_squareroot.py > ... from eth2spec.bellatrix import mainnet as spec from eth2spec.utils.ssz.ssz typing import (uint64) x = uint64(16)assert spec.integer squareroot(x) == 4 x = uint64(25)assert spec.integer squareroot(x) == 5 **ESBMC Verification Output**

Handle integer_squareroot bound case #3600	
Merged hwwhww merged 3 commits into dev from integer_squareroot 🖸 2 weeks ago	
□ Conversation       4       -O- Commits       3       F       Checks       15       E       Files changed       5	
hwwhww commented 2 weeks ago • edited 👻	Contributor •••
Credits to the University of Manchester Bounded Model Checking (BMC) project team: Bruno Farias, You C. Cordeiro for reporting this issue! This team is an <u>Ethereum Foundation ESP</u> "Bounded Model Checking for Verifying and Testing Ethereum Co (FY22-0751)" project grantee. They used <u>ESBMC model checker</u> to find this issue.	
Description	
integer_squareroot raises ValueError exception when n is maxint of uint64 , i.e., $2^{**64} - 1$ .	
However, we only use integer_squareroot in	
<pre>1. integer_squareroot(total_balance)</pre>	
2. integer_squareroot(SLOTS_PER_EPOCH)	,
With the current Ether total supply + EIP-1559, it's unlikely to hit the overflow bound in a very long time. ( 紼 া	)
mat salu, it should be liked to return the expected value.	

## **WolfMQTT** Verification

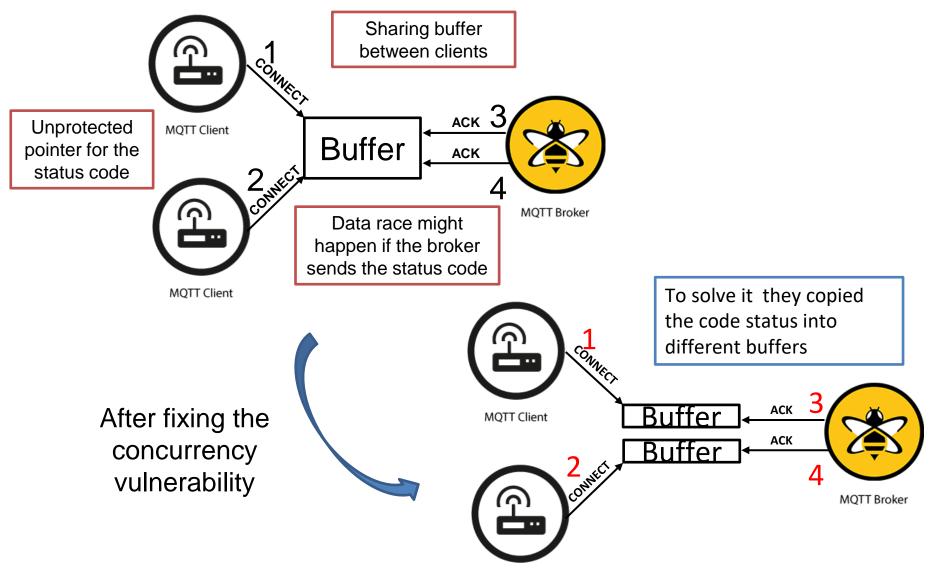
 wolfMQTT library is a client implementation of the MQTT protocol written in C for IoT devices

subscribe\_task
and waitMessage\_task are
called through different threads
 accessing packet\_ret,
 causing a data race in
 MqttClient WaitType

Here is where the data race might happen! Unprotected pointer

```
Int main() {
Pthread t th1, th2;
static MQTTCtx mqttCtx;
pthread create(&th1, subscribe task, &mqttCtx))
pthread create(&th2, waitMessage task, &mqttCtx))}
static void *subscribe task(void *client){
MqttClient WaitType(client, msg, MQTT PACKET TYPE ANY,
0,timeout ms);
. . . . . }
static void *waitMessage task(void *client) {
MqttClient WaitType(client, msg, MQTT PACKET TYPE ANY,
0,timeout ms);
static int MqttClient WaitType(MqttClient *client,
void *packet obj,
   byte wait type, word16 wait packet id, int timeout ms)
           rc = wm SemLock(&client->lockClient);
           if (rc == 0) {
               if (MqttClient RespList Find(client,
(MqttPacketType) wait type,
                       wait packet id, &pendResp)) {
                   if (pendResp->packetDone)
                       rc = pendResp->packet ret;
. . . . . }
```

### **WolfMQTT** Verification



MQTT Client

### **Bug Report**

embhorn merged 1 commit into wolfSSL:master from dgarske:mt_suback	on 3 Jun 2021
nversation 2 -O- Commits 1 FJ. Checks 0 E Files changed 4	+74 -48
dgarske commented on 2 Jun 2021	Contributor 😳 ···· Reviewers
1. The client lock is needed earlier to protect the "reset the packet state".	🚺 lygstate
<ol> <li>The subscribe ack was using an unprotected pointer to response code list. Now i</li> </ol>	t makes a copy of those codes.
3. Add protection to multi-thread example "stop" variable.	
Thanks to Fatimah Aljaafari (@fatimahkj) for the report.	Assignees
ZD 12379 and PR  O Data race at function MqttClient_WaitType #198	embhorn
🚇 Fixes for three multi-thread issues: …	× 78370ed
	None yet
dgarske requested a review from embhorn 15 months ago	
	Projects
R dgarske assigned embhorn on 2 Jun 2021	None yet
	Milestone
embhorn approved these changes on 3 Jun 2021	View changes No milestone

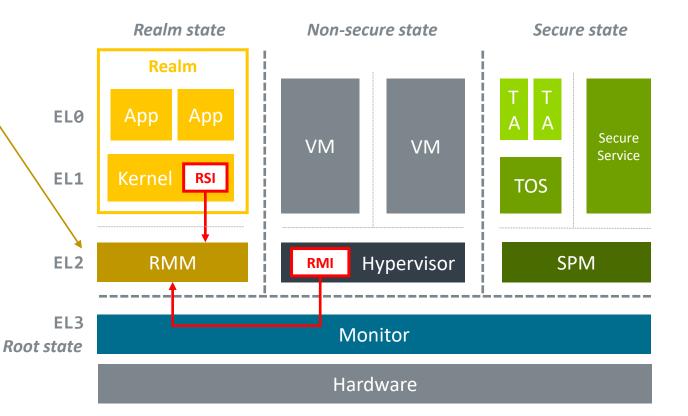
https://github.com/wolfSSL/wolfMQTT



### Verifying Components of Arm® Confidential Computing Architecture with ESBMC

### Realm Management Monitor (RMM)

- + Provides services to Host and Realm
  - Contains no policy
  - Performs no dynamic memory allocation
- + Realm Management Interface (RMI)
  - Secure Monitor Call Calling Convention (SMCCC) interface called by Host
  - Create/destroy Realms
  - Manage Realm memory, manipulating stage 2 translation tables
  - Context switch between Realm VCPUs
- + Realm Services Interface (RSI)
  - SMCCC interface called by Realm
  - Measurement and attestation
  - Handshakes involved in some memory management flows



#### Arm CCA is an architecture that provides Protected Execution Environments called Realms

Wu, T., Xiong, S., Manino, E., Stockwell, G., Cordeiro, L. Verifying components of Arm(R) Confidential Computing Architecture with ESBMC. In SAS 2024 (to

### Verifying Components of Arm® Confidential Computing Architecture with ESBMC

### + The specification document<sup>1</sup> is in the style of:

rules-based writing

 $R_{\text{TMGSL}}$ 

When the state of a Granule has transitioned from *P* to DELEGATED and then to any other state, any content associated with *P* has been *wiped*.

### pre/post-condition pairs.

D3.2.5 RMI_GRANUL	E_DELEGATE	(—— from the left column)			
Delegates a Granule.		gran_bound pre: !PalsDelegable(addr)			
		<pre>post: ResultEqual(result,RMI_ERROR_I</pre>			
D3.2.5.1 Interface		gran_state	<pre>pre: Granule(addr).state != UNDELEGATED</pre>		
D3.2.5.1.2 Input Values			<pre>post: ResultEqual(result,RMI_ERROR_INPUT)</pre>		
Name Resister Field Type	Description	gran_pas	<pre>pre: Granule(addr).pas != NS</pre>		
fid X0 [63:0] UInt64	Command FID		<pre>post: ResultEqual(result,RMI_ERROR_INPUT)</pre>		
addr X1 [63:0] Address	PA of the target Granule	D3.2.5.3 Su	access conditions		
D3.2.5.1.3 Output Values		ID	Post-condition		
D3.2.5.1.3 Output Values           Name         Resister         Field         Type	Description	ID gran state	Post-condition Granule(addr).state == DELEGATED		
· .	<b>Description</b> Command return status				
Name Resister Field Type	•	gran_state gran_pas	<pre>Granule(addr).state == DELEGATED Granule(addr).pas == REALM</pre>		
Name         Resister         Field         Type           result         X0         [63:0]         ReturnCode	•	gran_state gran_pas	Granule(addr).state == DELEGATED		
NameResisterFieldTyperesultX0[63:0]ReturnCodeD3.2.5.2Failure conditions	Command return status	gran_state gran_pas	<pre>Granule(addr).state == DELEGATED Granule(addr).pas == REALM</pre>		
Name         Resister         Field         Type           result         X0         [63:0]         ReturnCode           D3.2.5.2         Failure conditions           ID         Condition           gran_align         pre:         !AddrIsGranuleA	Command return status	gran_state gran_pas D3.2.5.4 Fo	Granule(addr).state == DELEGATED Granule(addr).pas == REALM potprint		

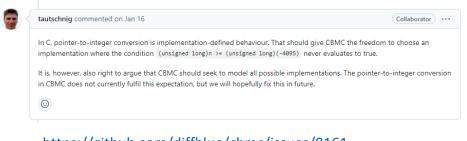
+ The document is generated from a machine-readable specification (MRS).

<sup>1</sup> <u>https://developer.arm.com/documentation/den0137/latest</u>, the examples in this slide are taken when the paper was drafted.

### Verifying Components of Arm® Confidential Computing Architecture with ESBMC

Test_benchmarks	esbmc multi	cbmc multi
RMI_REC_DESTROY	20	20
RMI_GRANULE_DELEGATE	safe	safe
RMI_GRANULE_UNDELEGATE	1	1
RMI_REALM_ACTIVATE	3	safe
RMI_REALM_DESTROY	15	1
RMI_REC_AUX_COUNT	1	1
RMI_FEATURES	safe	safe
RMI_DATA_DESTROY	>=24	22

#include <assert.h>
extern int nondet\_int();
int main() {
 int m = nondet\_int();
 int \*n = &m;
 if((unsigned long)n >= (unsigned long)(-4095))
 assert((unsigned int)(-1 \* (long)n) < 6);
 int a = -2048;
 if((unsigned long)a >= (unsigned long)(-4095))
 assert((unsigned int)(-1 \* (long)a) < 6);
}</pre>



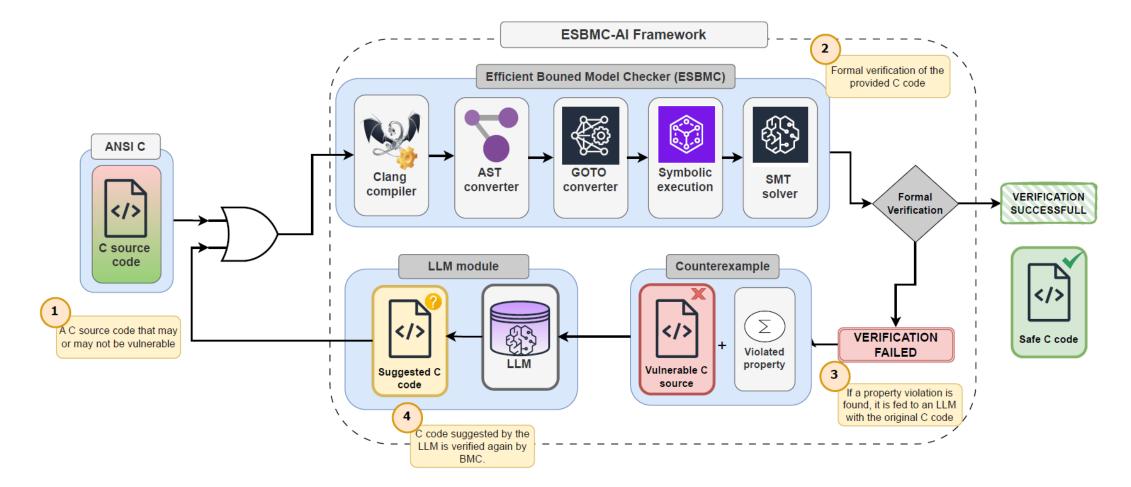
https://github.com/diffblue/cbmc/issues/8161

Wu, T., Xiong, S., Manino, E., Stockwell, G., Cordeiro, L., Verifying components of Arm<sup>®</sup> Confidential Computing Architecture with ESBMC. In SAS 2024 (to appear)

# Agenda

- Automated Software Testing and Verification with the ESBMC Framework
- Towards Self-Healing Software via Large Language Models and Formal Verification
- Automated Reasoning System for Building Trustworthy SW and AI Systems

# **Towards Self-Healing Software via Large Language Models and Formal Verification**



Charalambous, Y., Tihanyi, N., Jain, R., Sun, Y., Ferrag, M. Cordeiro, L.: A New Era in Software Security: Towards Self-Healing Software via Large Language Models and Formal Verification. CoRR abs/2305.14752 (2023)

Do Neutral Prompts Produce Insecure Code? FormAI-v2 Dataset: Labelling Vulnerabilities in Code Generated by Large Language Models

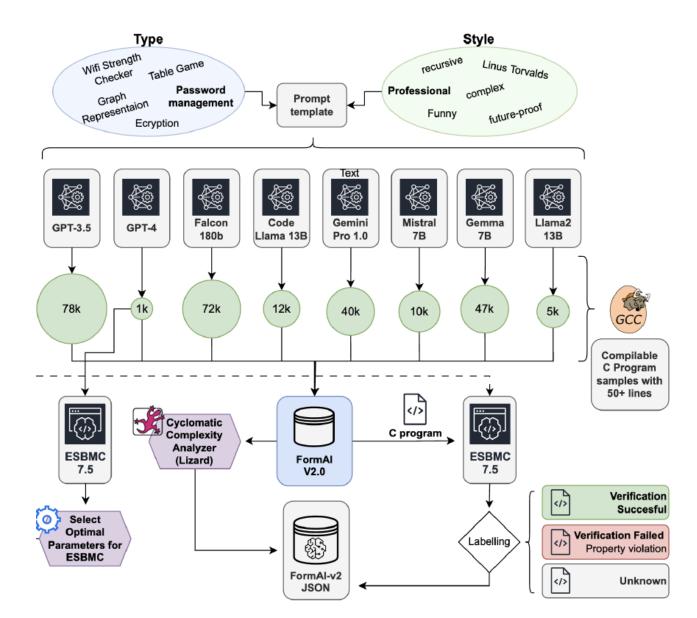
Norbert Tihanyi<sup>1\*</sup>, Tamas Bisztray<sup>2</sup>, Mohamed Amine Ferrag<sup>1</sup>, Ridhi Jain<sup>1</sup>, Lucas C. Cordeiro<sup>3</sup>

<sup>1</sup> Technology Innovation Institute (TII), Abu Dhabi, UAE.
 <sup>2</sup> University of Oslo, Oslo, Norway.
 <sup>3</sup>University of Manchester, Manchester, UK.

Datasets Specs	Big-Vul	Draper	SARD	Juliet	Devign	REVEAL	Diverse Vul	FormAI	FormAI v2
Language	C/C++	C/C++	Multi	Multi	$\mathbf{C}$	C/C++	C/C++	$\mathbf{C}$	$\mathbf{C}$
Source	RW	$_{ m RW}^{ m Syn}$ +	$_{\rm RW}^{\rm Syn}$ +	Syn	RW	RW	RW	AI	AI
Dataset size	189k	1,274k	101k	106k	28k	23k	379k	112k	150k
Vul. Snippets	100%	5.62%	100%	100%	46.05%	9.85%	7.02%	51.24%	61%
Multi. Vulns.	×	4	×	×	×	×	×	~	~
Compilable	×	×	~	~	×	×	×	~	~
Granularity	Func	Func	Prog	Prog	Func	Func	Func	Prog	Prog
Class. Type	CVE CWE	CWE	CWE	CWE	CVE	CVE	CWE	CWE	CWE
Avg. LOC.	30	29	114	125	112	32	44	79	82
Labelling Method	Р	$\mathbf{S}$	B/S/M	В	М	Р	Р	F	$\mathbf{F}$

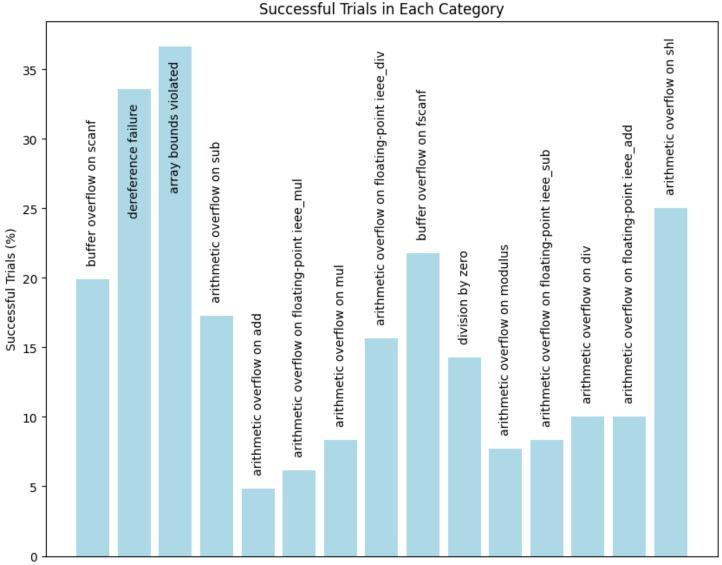
Legend: Multi: Multi-Language Dataset, RW: Real World, Syn: Synthetic, AI: AI-generated, Func: Function level granularity, Prog: Program level granularity, CVE: Common Vulnerabilities and Exposures, CWE: Common Weakness Enumeration, P: GitHub Commits Patching a Vulnerability, S: Static Analyzer, B: By Design Vulnerable, F: Formal Verification with ESBMC, M: Manual Labeling

#### https://arxiv.org/abs/2404.18353



Network Management, Table Games, Wi-Fi Signal Strength Analyzer, QR code reader, Image Steganography, Pixel Art Generator, Scientific Calculator Implementation, and Encryption, string manipulation, etc.

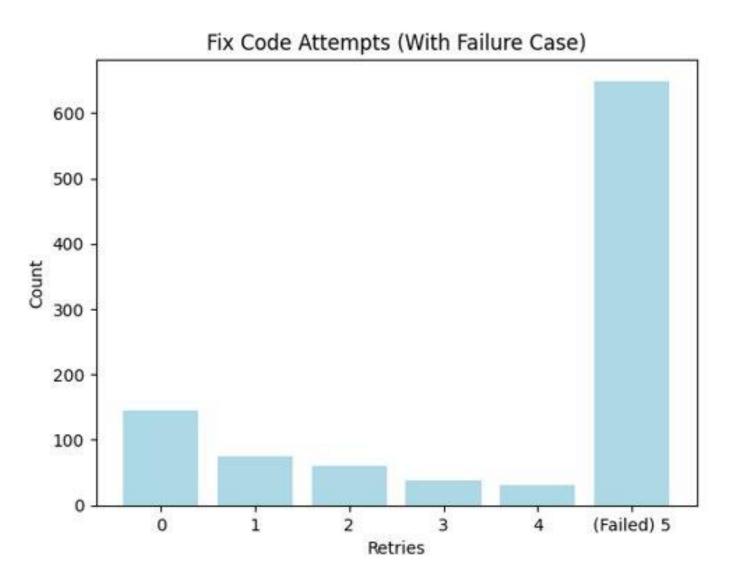
# **ESBMC-AI Fix Code Mode (FCM) Performance**



- Built the formAl dataset
   with 112k C programs
- Randomly selected 1k vulnerable C programs
- Repaired 35.5% programs
- Lowest category was arithmetic overflow (~5%)
- Highest category was array out of bounds (~36%)
- Generic prompts (room for improvement)

Tihanyi et al.: The FormAl Dataset: Generative Al in Software Security through the Lens of Formal Verification. PROMISE 2023: 33-43

# **ESBMC-AI Fix Code Mode (FCM) Performance**

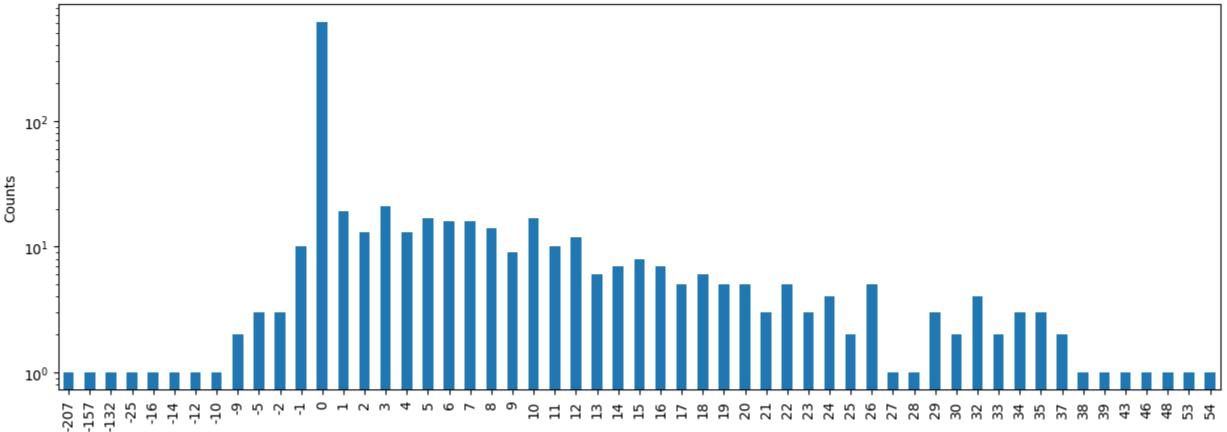


- Built the formAl dataset
   with 112k C programs
- Randomly selected 1k
   vulnerable C programs
- Repaired 35.5% programs
- Lowest category was arithmetic overflow (~5%)
- Highest category was array out of bounds (~36%)
- Generic prompts (room for improvement)

Tihanyi et al.: The FormAl Dataset: Generative Al in Software Security through the Lens of Formal Verification. PROMISE 2023: 33-43

# **ESBMC-AI Fix Code Mode (FCM)**

Lines of Code Deltas



Delta

# **FCM LoC Delta 0 Examples**

### delta FormAI\_31585.c

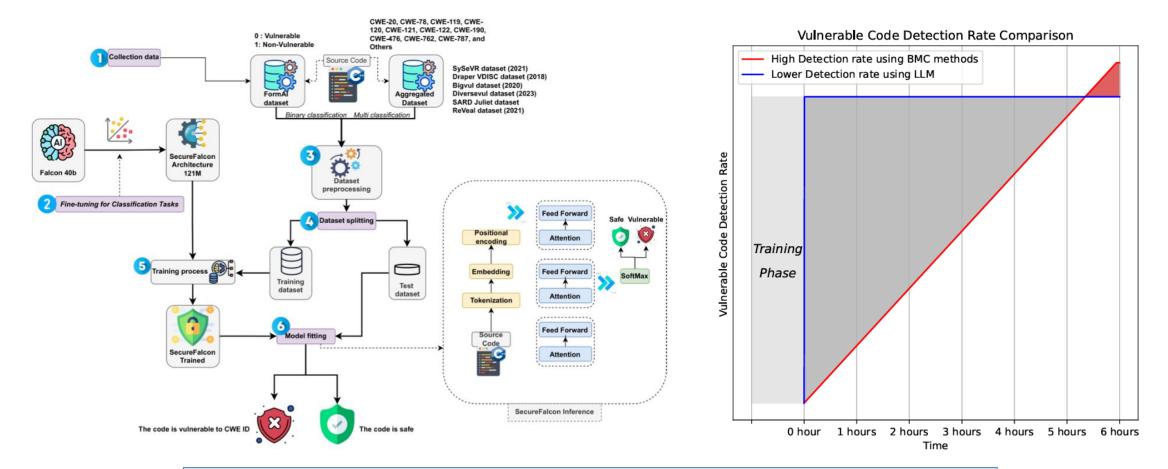
1d0

< //FormAI DATASET v1.0 Category: File Encyptor ; Style: satisfied 27a27

fclose(file\_ptr); // added line

```
> delta FormAI_80614.c
1d0
< //FormAI DATASET v1.0 Category: Palindrome Checker ; Style: accurate
3a3
> #include <stdlib.h>
10c10
<    scanf("%s", &str);
---
>    scanf("%99s", str); // Add a limit to the input size to prevent buffer overflow
```

### SecureFalcon: Are We There Yet in Automated Software Vulnerability Detection with LLMs?



Train LLMs on known patterns (e.g., CWEs) to catch bugs in real-time as code is being written in an IDE

https://arxiv.org/abs/2307.06616

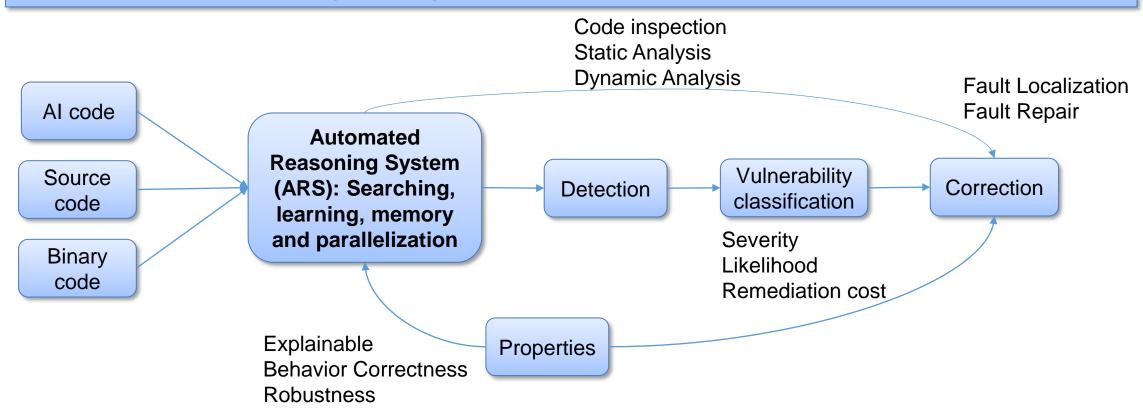
# Agenda

- Automated Software Testing and Verification with the ESBMC Framework
- Towards Self-Healing Software via Large Language Models and Formal Verification

 Automated Reasoning System for Building Trustworthy SW and AI Systems

### Vision: Automated Reasoning System for Building Trustworthy SW and AI Systems

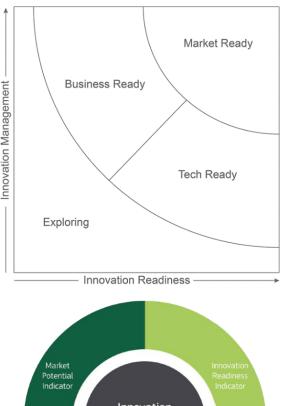
Develop an automated reasoning system for safeguarding software and AI systems against vulnerabilities in an increasingly digital and interconnected world



### The European Commission recognized our code verification framework as an outstanding innovation



- "We believe that your organisation's inclusion in this initiative could open up new opportunities for you to partner with business or academic organisations and trigger interest from potential customers or investors in your innovations"
  - Innovation Title: ELEGANT code verification mechanisms;
  - Market Maturity of the Innovation: Exploring
  - Market Creation Potential of the innovation: High





# (Real) Impact: Students and Contributors

- 5 PhD theses
- 30+ MSc dissertations
- 30+ final-year projects
- GitHub:
  - 33 contributors
  - 23,419 commits
  - 272 stars
  - 91 forks
  - 4.3k downloads

https://github.com/esbmc/esbmc



### Impact: Awards and Industrial Deployment

- Distinguished Paper Award at ICSE'11
- Best Paper Award at SBESC'15
- Most Influential Paper Award at ASE'23
- Best Tool Paper Award at SBSeg'23
- 35 awards from intl. competitions on SW verification/testing at TACAS/FASE
  - Bug Finding and Code Coverage
- Intel deploys ESBMC in production as one of its verification engines for verifying firmware in C
- Nokia and ARM have found security vulnerabilities in C/C++ software
- Funded by the government (EPSRC, British Council, Royal Society, CAPES, CNPq, FAPEAM) and industry (Intel, Motorola, Samsung, Nokia, ARM)
- Potential spin-out about building trustworthy software and AI systems

### Acknowledgements



Engineering and Physical Sciences Research Council











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